

10/12/99
Jc525 U.S. Pat. & TM
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PATENT APPLICATION
under 35 USC 111(a) and Rule 53(b) WITH SIGNED DECLARATION

A
PATENT APPLICATION
Jc511 U.S. Pat. & TM
09/416098
10/12/99

Asst. Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

NONPROVISIONAL

Sir:

Enclosed is the patent application of

Inventors: **Teresa H. Meng, David K. Su and Masoud Zargari**

Title: **Method and Apparatus for Eliminating the Effects of Frequency Offsets in a Digital Communication System**

(Our Deposit Account No. 03-3975)

Our Order No.	73169	259697
	Client #	Matter #
Atty. Docket	259697	TS-005
	TMC#	Client Ref

including:

Date: October 12, 1999

1. ☒ Specification, claims and abstract: 32 pages
2. ☐ Specification in non-English
3. ☒ Declaration ☒ Original ☐ Facsimile/Copy
4. ☒ Drawings: 5 sheet(s) ☐ informal / ☒ formal
5. ☒ An Assignment and cover sheet. Please return the recorded Assignment to the undersigned.
6. ☒ A Verified Statement establishing "small entity" status under Rules 9 & 27.

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

7. Basic Filing Fee	\$760/\$380	\$ 380.00	101/201
8. Total Claims:	35 minus 20 = 15	x \$18/\$9 = + 135.00	103/203
9. Independent Claims:	9 minus 3 = 6	x \$78/\$39 = + 234.00	102/202
10.	TOTAL FILING FEE = \$ 749.00		
11. If "assignment" box is X'd, add recording fee	+ \$40/\$40	+ 40.00	581
24.	TOTAL FEE ENCLOSED: \$ 789.00		

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any missing or insufficient fees, or credit any overpayment, to our Account/Order No. above, for which purpose a duplicate copy of this sheet is enclosed.

1100 New York Avenue, N.W.
Ninth Floor, East Tower
Washington, D.C. 20005-3918
Tel: (650) 233-4777
Fax: (650) 233-4545

PILLSBURY MADISON & SUTRO LLP

By: David A. Jakopin, Reg. No. 32,995

By: Mark J. Danielson
Mark J. Danielson, Reg. No. 40,580

Express Mail Label:

EK 025 838 634 US

Date of Deposit:

October 12, 1999

I certify that this paper and listed enclosures are being deposited with the U.S. Post Office "Express Mail Post Office to Addressee" under 35 CFR 1.10 on the above date, addressed to Asst. Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

Valerie J. Harmon
Valerie J. Harmon

Inventors: Teresa H. MENG, et al.
App. No.: Unassigned
Filed: Herewith

Atty Dkt. 73169/0259697
Client Ref. TS-005

Title: **Method and Apparatus for Eliminating the Effects of
Frequency Offsets in a Digital Communication System**

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(d) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am an official empowered to act on behalf of the small business concern identified below:

NAME OF CONCERN: **T-SPAN SYSTEMS CORPORATION**
ADDRESS OF CONCERN **3145 Porter Drive, Palo Alto, CA 94304**

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled as above and invented by MENG, et al. described in the specification filed herewith.

If the rights held by the above identified small business concern are not exclusive, each small entity individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify under 37 CFR 1.9(c) as an independent inventor if that person had made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *Note: Separate verified statements are required from each person, concern or organization having rights to the invention, averring to small entity status (37 CFR 1.27).

FULL NAME of _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this case, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF SIGNATORY: Teresa H. MENG
TITLE: Chief Executive Officer
ADDRESS: 3145 Porter Drive
Palo Alto, CA 94304

SIGNATURE _____

DATE

10/11/99